

# **PART B - FEE(S) TRANSMITTAL**

Complete and send this form, together with applicable fee(s), to: **Mail**

**Mail Stop ISSUE FEE  
Commissioner for Patents  
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23553 7590 03/31/2004  
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## **Certificate of Mailing or Transmission**

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/661,429	09/13/2000	Simon Fafard	99388-US	8408

**TITLE OF INVENTION: QUANTUM DOT TUNABLE EXTERNAL CAVITY LASERS (QD-TEC LASERS)**

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$0	\$1330	06/30/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
NGUYEN, JOSEPH H	2815	372-043000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

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## **3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)**

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

**National Research Council of Canada**

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

**1500 Montreal Road  
Ottawa, ON K1A 0R6  
CANADA**

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ individual ☐ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☐ Publication Fee
- ☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment Deposit Account Number 13-1717 (enclose an extra copy of this form).

Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature) **Richard J. Mitchell 34,519** (Date) **May 31, 2004**

**NOTE:** The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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06/04/2004 HALI22 00000073 131717 09661429  
01 FC:1501 1330.00 DA



## **MAIL STOP ISSUE FEE**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Agent's Docket No. **99388-US**

In re Application of	)	Allowed: <b>March 31, 2004</b>
<b>FAFARD, Simon</b>	)	Confirmation No.: <b>8408</b>
Serial No: <b>09/661,429</b>	)	Art Unit: <b>2815</b>
Filed: <b>September 13, 2000</b>	)	Examiner: <b>NGUYEN, Joseph H.</b>

For: **QUANTUM DOT TUNABLE EXTERNAL CAVITY LASERS (QD-TEC LASERS)**

May 31, 2004

**Commissioner for Patents  
U.S. Patent and Trademark Office  
Alexandria, VA 22313-1450**

### **TRANSMITTAL LETTER**

Sir:

Submitted herewith are the following documents:

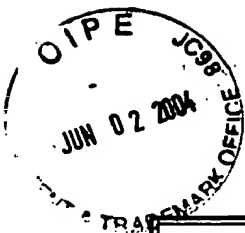
- Transmittal Payment of Issue Fee Form (in duplicate)
- Issue Fee Transmittal Form (in duplicate)

Respectfully submitted,

BY: 

Richard J. Mitchell  
Reg. No. 34,519  
Agent of Record

MARKS & CLERK  
P. O. Box 957, Station B  
Ottawa, Ontario Canada K1P 5S7 (613) 236-9561



**TRANSMITTAL PAYMENT OF ISSUE FEE (Large Entity)**  
**(37 C.F.R. 1.311)**

Docket No.  
99388-US

Applicant(s): Simon FAFARD

Serial No.  
09/661,429

Filing Date  
September 13, 2000

Examiner.  
NGUYEN, Joseph H.

Group Art Unit  
2815

Confirmation No.  
8408

Invention:

QUANTUM DOT TUNABLE EXTERNAL CAVITY LASERS (QD-TEC LASERS)

**TO THE ASSISTANT COMMISSIONER FOR PATENTS**  
**Washington, D.C. 20231**  
**Attention: Box Issue Fee**

Transmitted herewith are the following for the above-identified application.

☒ Issue Fee Transmittal Form PTOL-85

☒ Utility Fee: \$1,330.00

☐ Design Fee: \_\_\_\_\_

☐ Plant Fee: \_\_\_\_\_

☐ A check in the Amount of \_\_\_\_\_ is attached.

☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 13-1717 as described below. A duplicate copy of this sheet is enclosed.

☒ Charge the amount of \$1,330.00

☒ Credit any overpayment.

☒ Charge any additional fee required.

  
\_\_\_\_\_  
Signature

Dated: May 30, 2004

Richard J. Mitchell

34,519

Marks & Clerk Canada  
P.O. Box 957  
Station B  
Ottawa, ON, K1P 5S7  
Phone: (613) 236-9561



I certify that this document and fee being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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